IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tsuyoshi YAMAMOTO, et al.

Serial No: 10/706,059

Filed: November 12, 2003

Confirmation No.: 6351

For: TILT CONTROL METHOD AND APPARATUS FOR OPTICAL

PLAYBACK APPARATUS DISC RECORDING AND

> Art Unit: 2627

Examiner: Thomas D. Alunkal

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I hereby certify that this correspondence is being transmitted via electronic filing on the date indicated below to: Mail Stop

March 26, 2007

Rebocca Maider

Signature Lessa Maide 03/26/07

APPEAL BRIEF

P.O. Box 1450 Mail Stop Appeal Brief Alexandria, VA 22313-1450 Commissioner for Patents

Dear Sir:

and Trademark Office on February 9, 2007. rejection issued on October 16, 2006 and the Notice of Appeal was sent to the Patent This is an Appeal from the Examiner's final rejection of claims 1-4. The final

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REAL PARTY IN INTEREST

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The real party in interest is Sanyo Electric Co. Ltd., Osaka, Japan.

RELATED APPEALS AND INTERFERENCES
None.

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(iii) STATUS OF CLAIMS

Claims 1-4 are pending. claims 1-4 are rejected.

In the final Office Action of October 16, 2006,

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i) STATUS OF AMENDMENTS

arguments section of the Office Action. of October 16, 2006 is maintained for the reasons set forth in the answer to condition for allowance. The Advisory Action further states that the final rejection that Applicants' response of December 4, 2006 fails to place the application in remarks and arguments. On January 24, 2007, an Advisory Action issued stating December 4, 2006 which did not amend any of claims 1-4 but which presented 2006. This Appeal is being filed in response to the final rejection of October 16, Subsequently, Applicants filed a Response To Final Office Action on

Claim 1

and page 6, lines 6-13). β value obtained from $\beta = (A1+A2)/(A1-A2)$ reaches a maximum, as an offset value peak level (A1) and a negative peak level (A2) in the RF signal of the offset signal of the offset adjustment signal that was recorded on the optical disk is played for the driving signal to be supplied to the tilt adjustment coil (page 11, lines 11-17 adjustment signal that was played back, and setting the driving signal level, when a back (page 10, lines 20-24). This is followed by a final step of detecting a positive level supplied to the tilt adjustment coil (page 10, lines 1-6). Thereafter, an RF 5 and 6). The offset adjustment signal is recorded while modifying a driving signal adjustment signal in a test recording area provided on an optical disk (page 9, lines adjustment coil (7) for adjusting the tilt of an objective lens (page 5, line 8 of the Claim 1 recites a tilt control method in an optical pickup including comprising three steps. The steps include recording an offset

Claim 2

lines 16-22). tilt control is performed by adding the set offset value to a tilt signal for performing control and supplying Claim 2 defines a tilt control method in accordance with claim 1, wherein the added signal to the tilt adjustment coil (page 7,

Jaim 3

apparatus further includes a 8 value detector circuit (12) for detecting a positive disk (1) via the objective lens (page 5, lines 26-28 and page 6, lines 1-2). via the objective lens (page 10, lines 7-14). The apparatus further includes a photo signal recording circuit (18) for recording a signal by irradiating light onto a disk (1) objective lens in an optical pickup (page 5, lines 7 and 8). The apparatus includes a detector circuit (4) for obtaining an RF signal by detecting reflected light from the 3 defines a tilt control apparatus (Fig. 1) for adjusting the tilt of an

value for tilt control (page 7, lines 1-10). control coil (7) corresponding to the maximum of the detected 8 value as an offset page 6, lines 1 and 2). The θ value detector circuit (12) detects a θ value (page 6, the offset adjustment signal that was recorded on the disk (page 5, lines 26-28 and is stored (page 10, lines 1-6). The photo detector circuit (4) detects an RF signal of circuit while the tilt control circuit modifies the driving signal level to the tilt signal is written to the disk by recording a signal to the disk by the signal recording supplied to the tilt adjustment coil (7) (page 7, lines 1-10). control coil, and the relationship between driving signal level and recording position apparatus is a tilt control circuit (13) for controlling the driving signal level the tilt of the objective lens (page 5, lines 6-8). Further included within the lines 6-13). The apparatus still further includes a tilt control coil (7) for controlling circuit (4), and detecting the 8 value obtained from $\theta = (A1+A2)/(A1-A2)$ (page 6, peak level (A1) and a minus peak level (A2) in the RF signal from the photo detector The tilt control circuit (13) uses the driving signal level for the tilt An offset adjustment

Claim 4

performing tilt control in supplying this to the tilt adjustment coil lines 16-22) tilt control circuit performs tilt control by adding the offset value to a tilt signal for Claim 4 defines a tilt control circuit in accordance with claim 3 in which the (page

(<u>t</u>y) THE GROUND OF REJECTION TO BE REVIEWED ON APPEAL

et al. in view of U.S. Patent 7,046,600 of Matsumoto. under 35 U.S.C.§ 103(a) as being unpatentable over U.S. Patent 6,434,096 of Akagi The ground of rejection to be reviewed on appeal is the rejection of claims 1-4

ARGUMENT REGARDING THE GROUND OF REJECTION

as disclosing setting an offset value supplied to a tilt adjustment coil obtained from a reproduction signal. Akagi, et al. on the other hand, is relied upon Matsumoto, Matsumoto is relied upon as disclosing the use of a maximum eta value In rejecting claims 1-4 as unpatentable over Akagi, et al. in view of

combine the teachings of Akagi, et al. and those of Matsumoto because Matsumoto ordinary skill in the art at the time of the invention would have been motivated to column 11, element 24 of Fig. 5, and Fig. 3). Figure 3 of Matsumoto is said to show problems listed result in poor recording quality. Matsumoto is said to disclose the said to disclose problems that arise from optical pickup defects, and that all of the helps improve the deficiencies disclosed by Akagi, et al. that there is a decrease in error value with a maximum 8 value, such that one of use of a setting of a driving signal level based on a maximum θ value (lines 1-4 of Matsumoto and Akagi so as to arrive at the claimed subject matter. Akagi et al. is supplied to a tilt adjustment coil based on the offset adjustment signal. As still pertaining thereto that the current supply to a tilt adjustment coil (element 403 in discloses reducing error value, and that in turn increases recording efficiency which further stated, it would have been obvious to combine the disclosed teachings of 17) is based on an offset adjustment signal (elements 318, 320 and 312 of Fig. In view of this, Akagi, et al. is said to disclose performing control of a current In a prior office action, it was pointed out that Akagi, et al. disclosed, by way 17 and elements 318, 320, 312, 313 and 403 as well as discussion

previous Office Action stated that such features are disclosed at lines 40-42 signal of the offset adjustment signal that was recorded to the optical disk. optical disk, wherein the offset adjustment signal is recorded while modifying a driving signal level supplied to the tilt adjustment coil, and (2) playing back an RF (1) recording an offset adjustment signal in a test recording area provided on an Claims 1-4 disclose features in accordance with the invention which include

correction circuit 320 provides the tilt adjustment signal sensor 310 and the detected offset value is stored in a memory circuit 319. An offset beforehand, the above-mentioned stored offset is read". error signal depending on the movement direction of the optical pickup is stored Fig. 17 of Akgai, offset detection circuit 318 detects offset based on a signal from tilt column 12 of Akagi. However, such portion of Akagi is "The offset amount of the tilt However, according

optical disk. Matsumoto only shows features concerning 8 value and does not show or suggest the features (1) and (2) of the present invention playing back an RF signal of the offset adjustment signal that was recorded to the while modifying a driving signal level supplied to the tilt adjustment coil, and (2) area provided on an optical disk, wherein the offset adjustment signal is recorded not show or suggest (1) recording an offset adjustment signal in a test recording accordance with the invention which are noted above. More specifically, Akagi does Therefore, Akagi does not show or suggest the features (1) and

clearly distinguish patentably over the prior art. signal that was recorded on the optical disk". Consequently, claim 1 is submitted to to said tilt adjustment coil" and "playing back an RF signal of said offset adjustment offset adjustment signal is recorded while modifying a driving signal level supplied adjustment signal in a test recording area provided on an optical disk, wherein said Claim 1 defines a tilt control method which includes "recording an offset

also distinguish patentably over the art. Claim 2 depends from and contains all of the limitations of claim 1

present invention so as to distinguish patentably over the art Claim 3 defines a tilt control apparatus which includes the features of the

also distinguish patentably over the prior art Claim 4 depends from and contains all of the limitations of claim 3 80 as to

CONCLUSION

reversed, and that such claims be determined to be allowable. It is therefore respectfully requested that the final rejection of claims 1-4 be

No. 50-1314. No. 50-1314. Please charge Any other fees due should also be charged to our Deposit Account thefee for this Appeal Brief to our Deposit Account

Respectfully submitted,

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(viii) CLAIM APPENDIX

coil for adjusting the tilt of an objective lens, comprising the steps of: A tilt control method in an optical pickup including a tilt adjustment

on an optical disc recording an offset adjustment signal in a test recording area provided

driving signal level supplied to said tilt adjustment coil; wherein said offset adjustment signal is recorded while modifying a

that was recorded on the optical disc; and thereafter playing back an RF signal of said offset adjustment signal

maximum, as an offset value for the driving signal to be supplied to the tilt driving signal level, when a β value obtained from $\beta = (A1+A2)/(A1-A2)$ reaches a the RF signal of said offset adjustment signal that was played back, and setting said adjustment coil. detecting a positive peak level (A1) and a negative peak level (A2) in

Ņ A tilt control method according to claim 1, wherein:

adjustment coil signal for performing tilt control and supplying the added signal to said tilt the tilt control is performed by adding the set offset value to a tilt

optical pickup comprising: A tilt control apparatus for adjusting the tilt of an objective lens in an

onto a disc via said objective lens; a signal recording circuit for recording a signal by irradiating light

reflected light from the disc via said objective lens; a photo detector circuit for obtaining an RF signal by detecting

detecting the θ value obtained from $\theta = (A1+A2)/(A1-A2)$; a minus peak level (A2) in the RF signal from said photo detector circuit, and a beta value detector circuit for detecting a positive peak level (A1) and

said tilt adjustment coil; a tilt control coil for controlling the tilt of said objective lens; and a tilt control circuit for controlling the driving signal level supplied to

signal level and recording position is stored; driving signal level to the tilt control coil, and the relationship between driving to the disc by said signal recording circuit while said tilt control circuit modifies the an offset adjustment signal is written to the disc by recording a signal

signal that was recorded on the disc; said photo detector circuit detects an RF signal of the offset adjustment

coil corresponding to the maximum of the detected 8 value as an offset value for tilt control. the tilt control circuit uses the driving signal level for the tilt control said beta value detector circuit detects a 8 value; and

A tilt control circuit according to claim 3, wherein:

to a tilt signal for performing tilt control and supplying this to said tilt adjustment said tilt control circuit performs tilt control by adding said offset value

None.

RELATED PROCEEDINGS APPENDIX

None.

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